

## Account Opening Form

(To be completed by Al Mal Securities LLC)

Client Al Mal Securities A/C No.: .....

DFM Trading No.: .....

ADSM Trading No.: .....

### Account Type

Single       Joint       Corporate       Other

### Individual 1

(Information as per Passport – Please attach a copy)

Full Name .....  
 (As featured in Passport)

Marital Status

Single       Married       Other

Number of Dependents .....

Nationality ..... Date of Birth .....

Gender       F       M

Passport No. .... Place of Issue .....

Issue Date ..... Expiry Date .....

National Identity No. (Khulaset el Qayd-for UAE Nationals) .....

Company Name ..... Occupation .....

Investor No. on DFM .....

Investor No. on ADSM .....

### Individual 2

(Information as per Passport – Please attach a copy)

Full Name .....  
 (As featured in Passport)

Marital Status

Single       Married       Other

Number of Dependents .....

Relationship with Individual 1 .....

Nationality ..... Date of Birth .....

Gender       F       M

Passport No. .... Place of Issue .....

Issue Date ..... Expiry Date .....

National Identity No. (Khulaset el Qayd-for UAE Nationals) .....

Company Name ..... Occupation .....

Investor No. on DFM .....

Investor No. on ADSM .....

### Corporate Entity

(Information as per Trade License or Certificate of Incorporation – Please attach a copy)

Name of Entity .....  
 (As featured in Trade License/Certificate of Incorporation)

Business Activity .....

Country of Establishment .....

Commercial Registration No. ....

Trade License No. ....

Issue Date .....

Expiry Date .....

Investor No. on DFM .....

Investor No. on ADSM .....

Authorized Representative Details (please attach a copy of authorization e.g. Power of Attorney)

Name .....

Capacity .....

### Official Correspondence Address

Primary Contact Name

.....

Address (Line 1) .....

Address (Line 2) .....

P.O.Box .....

City .....

Country .....

Postal / Zip Code .....

Tel (Day Time) .....

Tel (Evening Time) .....

Fax .....

Mobile .....

Email .....

Residential Address in UAE

	1st Applicant	2nd Applicant
Name	.....	.....
Address 1	.....	.....
Address 2	.....	.....
P.O.Box	.....	.....
City	.....	.....
Country	.....	.....
Telephone / Home	.....	.....
Mobile	.....	.....
Fax	.....	.....
Email	.....	.....

Office Address

	1st Applicant	2nd Applicant
Company Name	.....	.....
Address 1	.....	.....
Address 2	.....	.....
P.O.Box	.....	.....
City	.....	.....
Country	.....	.....
Telephone	.....	.....
Mobile	.....	.....
Fax	.....	.....
Email	.....	.....

**Bank Details**

A/C Name .....

A/C Number .....

Bank Name ..... A/C Currency .....

Branch ..... Country .....

City .....

**Trade Notification**

SMS  Email   
 Fax  Mail

By requesting the above notification(s) mean(s) I hereby consent and accept to the risks, irregularities, inconsistencies, delays, lost, risks of being interrupted, intercepted, misdelivered, breach of confidentiality and other risks involved and accompanied with such modes of communication. I hereby indemnify Al Mal Securities LLC from any damages, costs or losses incurred for not receiving confirmations sent by the above means from Al Mal Securities LLC.

**Disclosures**

- Currently employed at (Brokerage House Name and Capacity)  
 .....
- Current member of Board of Directors (BOD) or First Relative to BOD member of a Listed Company  
 .....
- Other (Specify)  
 .....

**Disclaimer**

By signing below, I / we certify the following:

1. I/we the duly authorised person / persons to act on behalf of this account.
2. I / we have read the attached terms and conditions in its entirety, and agree to be bound by them.
3. I/we confirm that all information supplied is correct to the best of my knowledge.
4. I/we acknowledge my responsibility to inform Al Mal Securities of any changes in the information supplied.

**Signatures**

Print Name (1) .....

Title .....

Date .....

Signature .....

Print Name (2) .....

Title .....

Date .....

Signature .....

Print Name (3) .....

Title .....

Date .....

Signature .....

Print Name (4) .....

Title .....

Date .....

Signature .....

Signature Card

Print Name (1)

.....

Title

.....

Signature

Print Name (2)

.....

Title

.....

Signature

Print Name (3)

.....

Title

.....

Signature

Print Name (4)

.....

Title

.....

Signature